

United States Patent and Trademark Office

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandris, Virginia 22313-1450 www.uspib.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/732,966

12/10/2003

Hung Chih Chen

8402-552001

PATENT COUNSEL APPLIED MATERIALS, INC. Legal Affairs Department P.O. BOX 450A Santa Clara, CA 95052

CONFIRMATION NO. 9309

FORMALITIES LETTER

OC000000013816894*

10/13/2004 HDEMESS1 00000043 501074

10732966

01 FC:1051

130.00 DA

Date Mailed: 09/17/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Hung Chih Chen

Assignee:

APPLIED MATERIALS, INC.

Title:

RETAINING RING WITH SLURRY TRANSPORT GROOVES

Serial No.:

10/732,966

Filed: December 10, 2003

Group Art Unit: 1763

Examiner: Unknown

Attorney Docket No .: 8402 USA/AGS/LAP

Santa Clara, California

\$**.00

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION – FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted mailed by the United States Patent and Trademark Office on September 17, 2004 the following documents are enclosed to complete the filing of the above-identified patent application:

- 1. A combined declaration and power of attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (4 pages);
- 2. A copy of the Notice to File Missing Parts of Application Filing Date Granted.

1. Filing Fee - Original Patent Application (37 CFR 1.16(a))

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account 50-1074;

2	Fees for claims				
		Each independent claim in excess of 3 (37 CFR 1.16(f)) Each claim in excess of 20 (37 CFR 1.16(c)) Each multiple dependent claim (37 CR 1.16(d)) Late Payment of filing fee - (37 CFR 1.16(e))	\$**.00 \$**.00 \$**.00 \$**.00		
	Ш	Total Completion Fees	\$**.00		

	Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:				
		Fee for other than Small Entity			
[[[One Month Two Months Three Months Four Months Five Months	\$110.00 \$430.00 \$980.00 \$1,530.00 \$2,080.00			
If an	additional extension of	of time is required, please consider this a peti	tion therefor.		
4. l	Late filing of original	\$130.00			
5.	Total fees due from ite	\$130.00			

6. The Commissioner is hereby authorized to charge total fees due and any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1074.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of **December 10, 2003**. Please telephone the undersigned at (408) 563-2726, if there are any questions regarding this matter.

Respectfully submitted,

Robert W. Mulcahy
Registration No. 25,436

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box MISSING PARTS Commissioner for Patents Washington, D.C. 20231

Sheri Griffin